



2123
U.S. PATENT APPLICATION NO.: 10/006,310
ATTORNEY DOCKET NO.: 16159.026001; P6937

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Bruce K. Daniels, et al.

ART UNIT: 2123

SERIAL NO.: 10/006,310

EXAMINER: TBD

FILED: December 3, 2001

TITLE: TRANSPARENT OPTIMIZATION OF NETWORK TRAFFIC IN
DISTRIBUTED SYSTEMS

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Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Technology Center 2100

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[XX] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [] the statement specified in § 1.97(e).

[XX] This Statement is being filed under 37 CFR 1.97(e) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. Each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the

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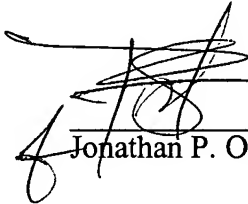
communication being dated January 29, 2004, which is not more than three months prior to the filing of this statement.

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee required for filing this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 16159.026001 for any additional fees and/or credits.

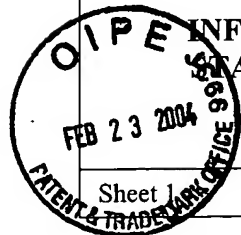
Respectfully submitted,

Date: 2/19/04

 #45,079
Jonathan P. Osha, Reg. No. 33,986

ROSENTHAL & OSHA L.L.P.
One Houston Center, Suite 2800
1221 McKinney Street
Houston, Texas 77010

Telephone No.: (713) 228-8600
Facsimile No.: (713) 228-8778



SUBSTITUTE FOR FORM 1449 (08-00)		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Complete if Known	
				Application Number	10/006,310
				Filing Date	December 3, 2001
				First Named Inventor	Bruce K. Daniels
				Group Art Unit	2123
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Examiner Name	TBD		
Sheet 1	1	of	1	Attorney Docket Number	16159.026001; P6937

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	A1	6,226,690	05/01/2001	Banda et al.	
	A2	6,230,160	05/08/2001	Chan et al.	
	A3	5,925,100	07/20/1999	Drewry et al.	
	A4	5,822,580	10/13/1998	Leung	

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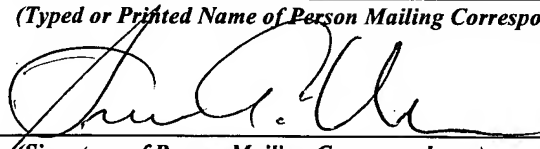
FOREIGN PATENT DOCUMENTS							
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**
	A5	WO	00/23877		WIPO	04/27/2000	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner initials*	Cite No.	References	T**
	A6	International Search Report; Int'l Application No. PCT/US00/00739; 03/14/2000 (22 pgs.)	
	A7	UK Examination Report dated 01/29/2004 (2 pgs.)	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

** Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8) Applicant(s): Bruce K. Daniels, et al.			Docket No. 16159.026001; P6937
Serial No. 10/006,310	Filing Date December 3, 2001	Examiner	Group Art Unit 2123
Invention: TRANSPARENT OPTIMIZATION OF NETWORK TRAFFIC IN DISTRIBUTED SYSTEMS			
<p>I hereby certify that this</p> <p><u>Supplemental Information Disclosure Statement along with the required papers</u> <i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service as first class mail in an envelope addressed to:</p> <p>Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on <u>February 19, 2004</u>. <i>(Date)</i></p> <p><u>Grace A. Wise</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p> <i>(Signature of Person Mailing Correspondence)</i></p> <p>Note: Each paper must have its own certificate of mailing.</p>			